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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b)

*Attorney Docket No.:* 2003\_1185*First Named Inventor :* Koji ONO et al.*Title:* POLISHING APPARATUS*Express Mail Label No.:*03/05/04 PTO 766  
U.S. PTO  
08/21/03**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i></p> <p>2. <input type="checkbox"/> Small Entity Status is hereby asserted.</p> <p>3. <input checked="" type="checkbox"/> Specification <i>(preferred arrangement set forth below)</i></p> <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to Sequence Listing, a table, or a computer program listing appendix.</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings <i>(if filed)</i></li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> <p>4. <input checked="" type="checkbox"/> Drawing(s) <i>(35 USC 113)</i></p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration</p> <p>a.1. <input type="checkbox"/> Newly executed (original or copy)</p> <p>a.2. <input type="checkbox"/> Unexecuted</p> <p>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i></p> <p>i. <input type="checkbox"/> <b><u>DELETION OF INVENTOR(S)</u></b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet (see 37 CFR 1.76)</p>	<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or computer program <i>(Appendix)</i></p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i></p> <p>a. <input type="checkbox"/> Computer Readable Form</p> <p>b. Specification Sequence Listing on:</p> <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> Paper</li> </ul> <p>c. <input type="checkbox"/> The paper and computer readable copies are identical</p>
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**ACCOMPANYING APPLICATION PARTS**

- 9.  Assignment Papers (cover sheet & document(s))
- 10.  37 CFR 3.73(b) Statement *(when there is an assignee)*
- Power of Attorney
- 11.  English Translation Document *(if applicable)*
- 12.  Information Disclosure Statement (IDS)/PTO-1449
- Copies of IDS Citations
- 13.  Preliminary Amendment
- 14.  Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
- 15.  Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
- 16.  Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.
- 17.  Other Claim of Priority under 119

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet:

 Continuation       Divisional       Continuation-in-part (CIP)      of prior application No. 09/669,883

Prior Application Information: Examiner Maurina T. Rachuba

Group Art Unit 3723

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

CUSTOMER NO.

000513

By:   
Joseph M. Gorski

Registration No. 46,500

WENDEROTH, LIND & PONACK, L.L.P.  
2033 "K" Street, N.W., Suite 800  
Washington, D.C. 20006-1021  
Phone:(202) 721-8200  
Fax:(202) 721-8250

August 21, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Attn: APPLICATION BRANCH  
Koji ONO et al. : Attorney Docket No. 2003\_1185  
Serial No. NEW :  
Filed August 21, 2003 :

POLISHING APPARATUS  
(Rule 1.53(b) Divisional  
of Serial No. 09/669,883,  
Filed September 27, 2000)

PATENT OFFICE FEE TRANSMITTAL FORM

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached hereto is a check in the amount of \$852.00 to cover Patent Office fees relating to filing the following attached papers:

Rule 53(b) application ..... \$750.00

Additional Claims Fee:

Excess of Twenty ..... \$18.00

Independent ..... \$84.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Koji ONO et al.

By

  
Joseph M. Gorski  
Registration No. 46,500  
Attorney for Applicants

JMG/edg  
WENDEROTH, LIND & PONACK, L.L.P.  
2033 K St., N.W., Suite 800  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
August 21, 2003

[Check No. 57243]  
2003\_1185

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : **Attn: APPLICATION BRANCH**  
Koji ONO et al. : Attorney Docket No. 2003\_1185  
Serial No. NEW :  
Filed August 21, 2003 :  
**POLISHING APPARATUS  
(Rule 1.53(b) Divisional  
of Serial No. 09/669,883,  
Filed September 27, 2000)** :  
THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

**CLAIM OF PRIORITY UNDER 35 USC 119**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

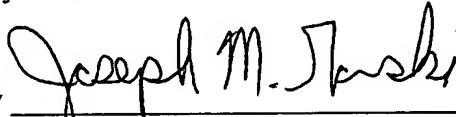
Applicants in the above-entitled application hereby claim the date of priority under the International Convention of Japanese Patent Application No. 11-274259, filed September 28, 1999, as acknowledged in the Declaration of this application.

A certified copy of said Japanese Patent Application is of record in parent application Serial No. 09/669,883, filed September 27, 2000.

Respectfully submitted,

Koji ONO et al.

By \_\_\_\_\_

  
Joseph M. Gorski  
Registration No. 46,500  
Attorney for Applicants

JMG/edg  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
Facsimile (202) 721-8250  
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